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PATENT & TRADEMARK OFFICE
JAPANTRANSMITTAL
FORM

Application Serial Number	10/560,069
Filing Date	December 10, 2005
First Named Inventor	Stephen John Kent
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	23558-007
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (*check all that apply*)

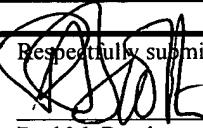
<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form 	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Request for Certificate of Correction <ul style="list-style-type: none"> <input type="checkbox"/> Certificate of Correction (in duplicate)
<input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] 	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time (1/2/3 months)	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Status Inquiry <input type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
<input checked="" type="checkbox"/> Information Disclosure Statement <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 	<input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance	<input type="checkbox"/> Additional Enclosure(s) (<i>please identify below</i>)
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<input type="checkbox"/> Sequence Listing submission <ul style="list-style-type: none"> <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above 		

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SIGNATURE BLOCK

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Respectfully submitted,

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Attorney Docket No.: 23558-007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen John Kent, et al.

Confirmation No.:

Appl. No.: 10/560,069

Examiner: Not Yet Assigned

Filing Date: December 10, 2005

Art Unit: Not Yet Assigned

Title: IMMUNOMODULATING COMPOSITIONS, USES THEREFOR AND PROCESSES FOR THEIR PRODUCTION

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56 and 37 CFR §1.97**

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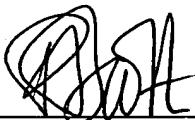
Listed on the attached Form PTO/SB/08A are documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 CFR §1.56. Enclosed for the Examiner's convenience is a copy of the listed foreign documents, and the International Search Report. These materials are being submitted to comply with the provisions of 37 CFR §1.97-§1.98.

The citation of any document herewith, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

CONCLUSION

Applicants respectfully request that the listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

By 

Paul M. Booth

Attorney for Applicant

Registration No. 40,244

Customer No. 61263

Date: November 6, 2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 4

Complete if Known	
Application Number	10/560,069
Filing Date	December 10, 2005
First Named Inventor	Stephen John Kent
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	23558-007

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 02/062375 A1	08-15-2002	Merck Patent GMBH		
		WO 01/40281 A2	06-07-2001	Thromb-X N.V.		
		WO 01/019408 A1	03-22-2001	Zycos Inc.		
		WO 01/16163 A2	03-08-2001	Euro-Diagnostica AB		
		WO 01/07625 A2	02-01-2001	Cornell Research		
		WO 94/03487	02-17-97	Jean-Francois ZAGURY		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 2 of 4

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Examiner Name	Unassigned
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U. S. PATENT DOCUMENTS

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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Application Number	10/560,069
Filing Date	December 10, 2005
First Named Inventor	Stephen John Kent
Art Unit	Unassigne
Examiner Name	Unassigned

Sheet 3

of 4

Attorney Docket Number 23558-007

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Holden T. Maecker, et al., "Use of Overlapping Peptide Mixtures...Cytometry", Journal of Immunological Methods, 2001, pp. 27-40, Vol. 255, Elsevier Science B.V..	
		Anthony H.-C. Choi, et al., "Functional Mapping of Protective Epitopes...Different Haplotypes", Vaccine, 2003, pp. 761-767, Vol. 21, Elsevier Science B.V..	
		Vishwa Deo Trivedi, et al., "The LLGGIV stretch of the N-terminal...Peptide, T20", Protein Engineering, 2003, pp. 311-317, Vol. 16, No. 4, Oxford University Press	
		Birgit Drabner, et al., "Identification of T helper cell-recognized...filarial nematode Onchocerca volvulus", Vaccine, 2002, pp. 3685-3694, Vol. 20, Elsevier Science Ltd.	
		Vesna Blazevic, et al., "Helper T-Cell Recognition of HIV-1 Tat Synthetic Peptides", Journal of Acquired Immune Deficiency Syndrome, 1993, pp. 881-890, Raven Press, New York	
		J. Marttila, et al., "Definition of three minimal T helper cell epitopes of rubella virus E1 glycoprotein", Clin Exp Immunol, 1996, pp. 394-397, Vol. 104, Blackwell Science	
		Carel A. van Baalen, et al., "Fine-specificity of cytotoxic T lymphocytes which ... immunodeficiency virus type 1", Journal of General Virology, 1996, pp. 1659-1665, Vol. 77	
		Adriana Albini, et al., "Identification of a Novel Domain of HIV Tat involved in Monocyte Chemotaxis*", The Journal of Biological Chemistry, 1998, pp. 15895-15900, Vol. 273	
		Peggy A. Wentworth, et al., "Epitopes recognized by HIV-SF2 nef-specific ...HIV-1-uninfected donors", Vaccine, 1994, pp. 117-128, Vol. 12, Butterworth-Heinemann Ltd.	
		S.M. Lonning, et al., "Gag Protein Epitopes Recognized...Carrier Horses", Journal of Virology, 1999, pp. 4257-4265, Vol. 73, No. 5, American Society for Microbiology	

Examiner Signature	Date Considered
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Sheet	4	of	4	Attorney Docket Number	23558-007

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Carel A. van Baalen, et al., "Selective in vitro expansion of HLA...and precursor frequencies", AIDS, 1993, pp. 781-786, Vol. 7, No. 6, Current Science Ltd. ISSN 0269-9370.	
		Petra A. M. Warmerdam, et al., "Elimination of a Human T-cell..and Computer Modeling", Thromb Haemost, 2002, pp. 666-673, Vol. 87, 2002 Schattauer GmbH, Stuttgart.	
		Ivan Stratov, et al., "Vaccines and VCaccine Strategies Against HIV", Current Drug Targets, 2004, pp. 71-88, Vol. 5, 2004 Bentham Science Publishers Ltd..	
		Bradley H. Edwards, et al., "Magnitude of Functional CD8+...Viral Load in Plasma", Journal of Virology, 2002, pp. 2298-2305, Vol. 76, No. 5, American Society for Microbiology.	

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